

Commissioner for Patents
Washington, DC 2023

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/909,238

07/19/2001

Gerd Hobom

100725-10/Kreisler 1092-K

CONFIRMATION NO. 8656

FORMALITIES LETTER

OC000000009081410

27384 KURT BRISCOE NORRIS, MCLAUGHLIN & MARCUS, P.A. 220 EAST 42ND STREET, 30TH FLOOR NEW YORK, NY 10017

Date Mailed: 11/07/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202





PART 2 - COPY TO BE RETURNED WITH RESPONSE

Atty's Docket: 100725-10

STATEMENT THAT "SEQUENCE LISTING" AND COMPUTER READABLE COPY ARE THE SAME AND/OR THAT PAPERS SUBMITTED INCLUDES NO NEW MATTER

I hereby state that each computer readable form submitted in this application, including those forms requested to be transferred from applicant's other application, is the same as the "Sequence Listing" to which it is indicated to relate. All papers accompanying this submission, or for which a request for transfer from applicant's other application, introduce no new matter.

Respectfully Submitted,

Norris, McLaughlin & Marcus 220 East 42 nd Street New York, NY 10017 Telephone (212) 808-0700 Facsimile (212) 808-0844

> Theodore Gottlieb, PhD Reg. No. 42,597

Please type a plu

PTO/SB/21 (12-97)

Se type a plus (+) inside this box (2-37)

Approved for use through 9/30/00. OMB 0651-0031

Patent and Trademark Office: U.S. Department of Commerce

Under the Paper Work Reduction Act of 1995, 50 persons are required to respond to a collection of information unless it displays a valid OMB

control number.

TRANSMITTAL FORM (To be used for all correspondence after initial filing)	Application Number	09/909,238
	Filing Date	July 19, 2001
	First Named Inventor	Gerd HOBOM et al.
	Group Art Unit	To Be Assigned
	Examiner Name	To Be Assigned
Total Number of Pages in This Submission	Attorney Docket Number	100725-10/Kreisler 1092- KGB

ENCLOSURES (check all that apply)			
☐ Fee Transmittal Form ☐ Fee Attached	☐ Assignment Papers (for an Application)	☐ After Allowance Communication to Group	
☐ Amendment / Response ☐ After Final	☐ Drawing(s)	☐ Appeal Communication to Board of Appeals and Interferences	
☐ Affidavits/declaration(s)	☐ Licensing-related Papers ☐ Petition	☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
□ Extension of Time Request□ Express Abandonment Request	☐ To convert a Provisional Application	☐ Proprietary Information	
☐ Information Disclosure Statement	☐ Power of Attorney, Revocation	☐ Status Letter	
☐ Certified Copy of Priority Document(s)	Change of Correspondence Address	☑ Other - Response to Notice to Comply with Requirements	
☐ Response to Missing Parts/ Incomplete Application	☐ Terminal Disclaimer ☐ Small Entity Statement	☑ Additional Enclosure(s) -(please identify below):	
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53	☐ Request for Refund	- Duplicate diskette containing Sequence Listing, copy of Notice to Comply	
	Remarks:		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Theodore A. Gottlieb Individual name NORRIS McLAUGHLIN & MARCUS, P.A.			
Signature Reg. No. 42,597			
Date December 19, 2002			
CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202.			
Typed or printed name Vilma I. Fernandez			
Signature Date December 19, 2002			

Burden Hour Statement: In its form is esumated to take 0.2 nours to complete, time with any depending upon the needs of the individual case. Any comments on the amount time you are required to complete this form should be sent to the Chief Information Office. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Hon. Commissioner of Patents/ Washington, DC 20231.

Atty's Docket: 100725-10



CERTIFICATION OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the U.S. Patent and Trademark Office, <u>Box Sequence</u>, P.O. Box 2327, Arlington, VA 22202. or <u>December 19. 2002</u>.

Vilma I. Fernandez

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NO.	09/909,238
i	,
APPLICANT	GERD HOBOM ET AL.
FILED	July 19, 2001
EXAMINER	TO BE ASSIGNED
ART UNIT	1614
FOR	RECOMBINANT INFLUENZA
	VIRUSES WITH BICISTRONIC
	vRNAs CODING FOR TWO GENES
	IN TANDEM ARRANGEMENT

Hon. Assistant Commissioner of Patents United States Patent and Trademark Office BOX SEQUENCE PO BOX 2327 Arlington, VA 22202

December 19, 2002

RESPONSE AND AMENDMENT

Sir:

This communication is in response to the Office Action dated November 7, 2002.

Entry of the amendments and consideration of the remarks is respectfully solicited.

Atty's Docket: 100725-10

CONDITIONAL PETITION FOR EXTENSION OF TIME

If any extension of time for this response is required, Applicants request that this be considered a petition therefore. Please charge the required fee to Deposit Account No. 14-1263.

ADDITIONAL FEES

Please charge any further insufficiency of fees, or credit any excess to Deposit Account No. 14-1263.

ITEMS BEING SUBMITTED

Submitted herewith is/are

- A copy of each "Sequence Listing" submitted for this application in computer readable form, in accordance with the requirements of 37 1.821(e) and 1.824.
- b) A statement that the content of each "Sequence Listing" previously submitted and each computer readable copy are the same, as required in 37 CFR 1.821(g).
- c) Because this submission is made in fulfilling the requirement under 37
 CFR 1.821(g), a statement that the submission includes no new matter.
- d) A copy of the NOTICE TO COMPLY...SEQUENCE DISCLOSURES.